

450118-4848

CONTINUED PROSECUTION APPLICATION REQUEST (Under 37 CFR § 1.53(d))

Mailing label number

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Date of Deposit

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EV196821192US November 1, 2002

PRIOR APPLICATION: 09/446.769

EXAMINER:

S. T. Channavajjala

ART UNIT 2177

ANTICIPATED CLASSIFICATION OF THIS APPLICATION:

CLASS

SUBCLASS

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

[37 CFR § 1.8 Certificate of Mailing CANNOT be sed.]

ASSISTANT COMMISSIONER FOR PATENTS Box CPA WASHINGTON, D.C. 20231

This is a Request for filing a \underline{X} continuation or $\underline{\ }$ divisional application under 37 CFR § 1.53(d) (continued prosecution application (CPA) of prior application Serial No. <u>09/446,769</u>, filed on <u>May 5, 2000</u>, which is a 371 of PCT/JP99/02243, filed April 27, 1999, by the following named inventor(s): <u>Kazue NAKAMURA</u>, and currently entitled <u>DATA STORAGE AND</u> RETRIEVAL APPARATUS AND METHOD OF THE SAME .

FULL NAME OF INVENTOR	NAME Kazue NAKAMURA					
RESIDENCE & CITIZENSHIP	CITY Kanagawa	STATE OR FOREIGN COUNTRY Japan	COUNTRY OF CITIZENSHIP Japan			
CURRENT CORRESPONDENCE ADDRESS	POST OFFICE ADDRESS c/o Sony Corporation 7-35 Kitashinagawa 6-Chome Shinagawa-ku	CITY Tokyo 141	STATE & ZIP CODE/COUNTRY JAPAN			
FULL NAME OF INVENTOR	NAME					
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP			
CURRENT CORRESPONDENCE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY			
FULL NAME OF INVENTOR	NAME					
RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP			
CURRENT CORRESPONDENCE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY			

11/05/2002 ANDNDAF1 00000127 09446769

01 FC:1006 02 FC:1253 740.00 OP 520.00 OP

	See	attached	sheet	for	names	and	addresses	of	additional	inventors
	(if	any).								

- This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. 1.53(d)(4). Please delete the following inventor(s) named in the prior nonprovisional application:
- See attached sheet for names of additional inventors to be deleted (if any).

The above identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including all previously entered amendments and the drawings, as the basic papers for the new application.

Note: If prior application is not to be abandoned, then the form for 37 CFR 1.53(b) should be used.

- 1. X Enter the amendment previously filed on <u>October 2, 2002</u> under 37 CFR 1.116 but unentered, in the prior application.
- 2. An amendment is enclosed.

The filing fee is calculated on the basis of the claims existing in the prior application as amended at 1 and 2 above.

(1) FOR	(2) NUMBER F	ILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS	
TOTAL CLAIMS	17	-20	-0-	x \$18.00	\$ -0-	
INDEPENDENT CLAIMS	2	-3	-0-	x \$84.00	-0-	
MULTIPLE DEPENDENT (ULTIPLE DEPENDENT CLAIMS(S) (IF APPLICABLE) + \$280.00					
				BASIC FEE	\$ 740.00	
	\$ 740.00					
Reduction by 1/2 for	filing by sma	ll entit	y (Note: 37 CFR § 1.9	9, § 2.37, § 1.28).		
TOTAL FILING FEE					\$ 740.00	

- 3. X

 The Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 (for filing) and § 1.17 (for prosecution) not otherwise paid which may be required with respect to the papers filed herewith, (__ and also any additional such fees which may be required during the entire pendency of this application, __ and also the issue fee under 37 CFR 1.18), or credit any overpayment, to Deposit Account No. 50-0320.
- 4. a. X Checks in the amount of \$740.00 and \$520.00 are enclosed.
 - b. ___ The filing fee to be paid later with surcharge.

PATENT 450118-4848

Power of attorney and/or correspondence address was changed during prosecution of the prior application. The new power of attorney and/or correspondence address is indicated below:

Correspondence Address Changed to:
Attention of: William S. Frommer, Esq.
c/o FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

- Original title and original applicant(s) of prior U.S. application, if different from above:
- 7. X

 This application is being filed within the third month following the expiration of the term originally set therefor in the prior application. This is a petition to request a three-month extension of time. A check covering the cost of the petition is enclosed. A fee of \$400.00 for a two-month extension of time was previously paid with the Amendment After Final Action filed October 2, 2002. The balance of \$520.00 for the requested three-month extension of the term is paid herewith.

Address all future communications to (may only be completed by applicant, or attorney, or agent of record):

Attention of: William S. Frommer, Esq. c/o FROMMER LAWRENCE & HAUG LLP
745 Fifth Avenue
New York, New York 10151

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.53(d) application, be it either this application or a prior application in the same file wrapper, the Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.

Date: November 1, 2002

FROMMER LAWRENCE & HAUG LLP

Name: Dennis M. Smid

Reg. No.: 34,930 Attorney of record

(or filed under 37 CFR § 1.34)

Tel. (212) 588-0800